

Training Course Agenda

PDA 576.1 Root Cause Investigation for CAPA

DAY 1	
	Welcome and Introductions
8:30	 Overview of methodology and when to use
	Introduce first participant real life case study
	Step 1: Define the Performance Problem
	Introduce instructor case study
	 Problem statement (investigation focus)
	 Problem description (investigation scope)
	 Workshop
	 Flow chart with key inputs of process(es) within scope of investigation (what will be reviewed)
10:30	Break
	Step 2: Collect Data
	 Determine data needed (verify and narrow investigation scope)
10:45	 Identify methods for collecting data
	 Consider tools and techniques for analyzing data
	• Workshop
12:00	Lunch
	Step 3: Identify Possible Causes
	Timeline of changes
	Differences and Changes
	Risk analysis review
	 Brainstorming techniques (gemba, fishbone, group, individual, etc.)
13:00	Step 4: Test Possible Causes
	Challenge possible causes against data
	Define "reasonable" assumptions
	Document testing process results
	Summarize testing leveraging contradiction matrix
	Workshop
14:30	Break
	Step 5: Identify Technical and Systemic Root Cause(s)
	 Verify assumptions
	• Studies/experiments
	 Identify technical root cause(s)
	 Identify systemic root cause(s)
	Step 6: Determine Corrective/Preventive Actions
	 Mistake proofing (poke-yoke) vs. variation reduction and optimization techniques
	 Corrective/preventive action(s)
	Risk mitigation
14:45	Control plan
	Acceptance criteria
	• Workshop
	Step 7: Verify Corrective/Preventive Actions
	Implement corrective/preventive actions
	Evaluate effectiveness (control plan)
	Debending additional accounting actions

Determine additional preventive actions

Disseminate knowledge gained



Training Course Agenda

PDA 576.1 Root Cause Investigation for CAPA

Finale

- Compare/contrast this investigation methodology with other approaches
- Shortcuts
- Simple investigations
- Investigation report
- Return on investment

16:00 End of Training Course