



# THE ANALYTICAL EVALUATION THRESHOLD:

PDA TRAINING COURSE  
EXTRACTABLES – LEACHABLES  
VIENNA  
23 – 24 OCT 2025

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- 1. E&L testing - basic principles**
- 2. The analytical evaluation threshold**
  - 2.1 Definition and concepts**
  - 2.2 The safety concern threshold (AET)**
  - 2.3 The maximum daily dose (MDD)**
  - 2.4 The uncertainty factor (UF)**
  - 2.5 Examples**
- 3. Summary**

# 1. E&L testing – basic principles

## Safety Threshold driven approach

### Extractables study



PHARMACEUTICAL  
CONTAINER



What **CAN** come out



**FOCUS:** Identification



Worst case conditions

### Leachables Study

DRUG PRODUCT



What **DOES** come out



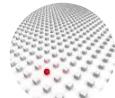
**FOCUS:** Quantification



Normal conditions



Bridging



Screening

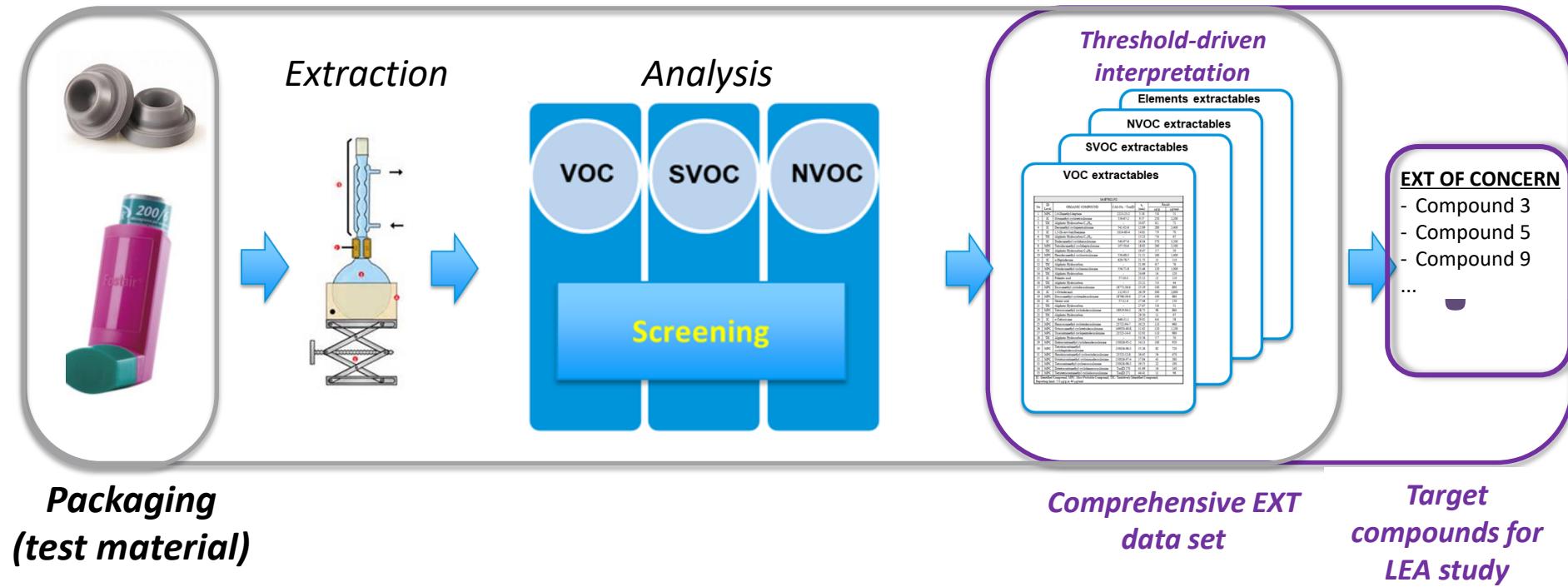
Bridging

Targeted  
&  
Screening



# 1. E&L testing – basic principles

## TYPICAL EXTRACTABLES STUDY



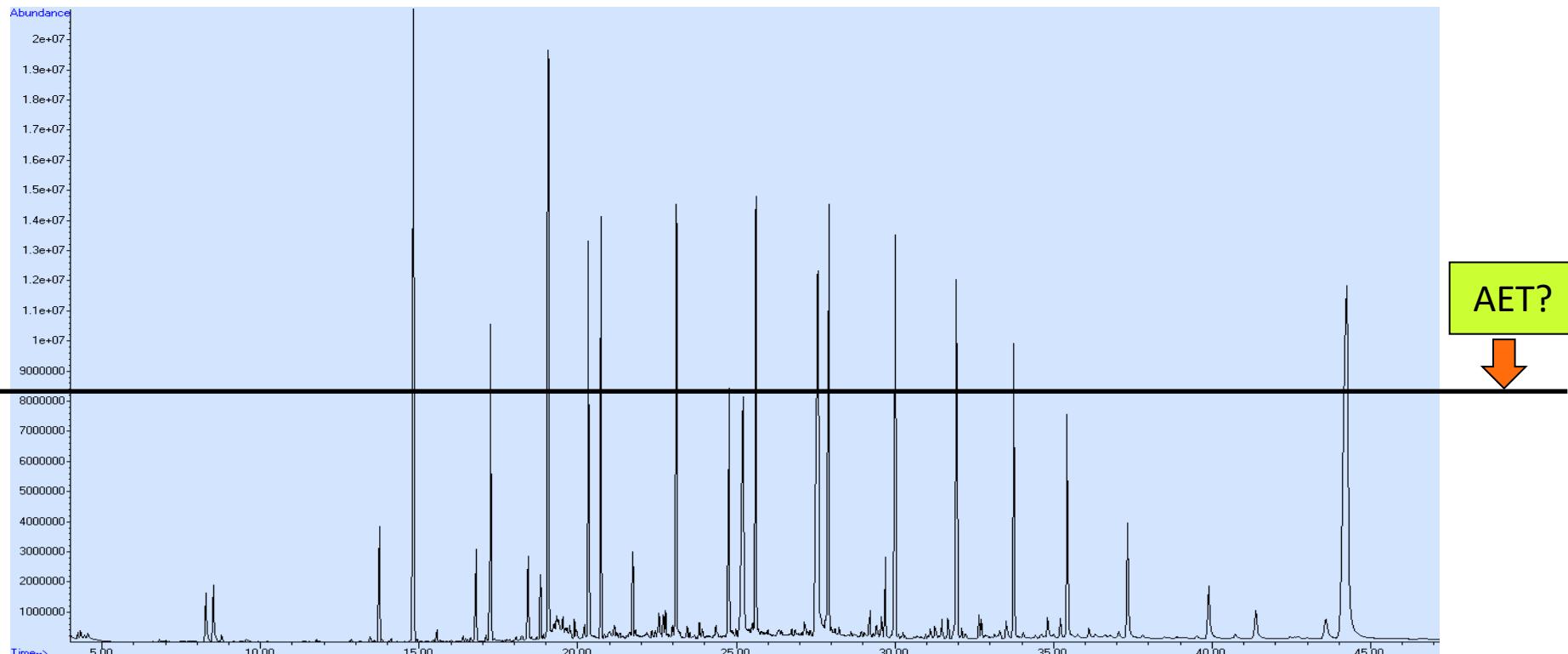
**Applying threshold approach filters out “Extractables of Concern”**

- Safety evaluation on results of an extractables study
- Critical information for leachables study

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## 2.1 The AET – definition and concepts

AET = is defined as the threshold at or above which a chemist should identify and quantify (semi-quantification) a particular leachable and/or extractable and report it for potential toxicological assessment.



## 2.1 The AET– definition and concepts

Which information do we need to calculate the (final)AET?

$$(final) \text{ AET} = \frac{\text{SCT}}{\text{MDD} \times \text{UF}}$$

1. (generic) **toxicological threshold** (~in  $\mu\text{g}/\text{day}$ )

2. A **maximum daily dose/exposure to the patient**  
 (~in  $\text{L}/\text{day}$  for a leachables study)  
 (~in units/day for an extractables study)

3. An analytical **uncertainty factor**

= translation of toxicological threshold ( $\mu\text{g}/\text{day}$ ) to a  
 an analytical concentration ( $\mu\text{g}/\text{L}$  or  $\mu\text{g}/\text{unit}$ )

### SAFETY CONCERN THRESHOLD

*“Threshold below which a leachable would have a dose so low as to present negligible safety concerns from carcinogenic and non-carcinogenic toxic effects”*

$$(\text{final}) \text{ AET} = \frac{\text{SCT}}{\text{MDD} \times \text{UF}}$$

### PQRI (Product Quality Research Institute)

- SCT dependent on the **administration route** of the final product:
  - **0.15 µg/day** for OINDPs (Orally Inhaled and Nasal Drug Products)
  - **1.5 µg/day** (cf. TTC of ICH M7) for PDPs (Parenteral Drug Products)
  - ODPs: Ophthalmic Drug Products (concentration based thresholds)
  - Oral and Topical/Transdermal products (no thresholds defined)

## 2.3 The AET – maximum daily dose



For a **leachables** study (~results in  $\mu\text{g}/\text{L}$ )

**Maximum daily dose volume (in L/day)**

-> For e.g. **1 mL/day** for a vaccine, **0.5 L/day** for a LVP drug product

$$(\text{final}) \text{ AET} = \frac{\text{SCT}}{\text{MDD} \times \text{UF}}$$



For an **extractables** study (~results in  $\mu\text{g}/\text{test item}$  or  $\mu\text{g}/\text{g}$ )

**Maximum test items/g of test item exposed per day**

-> For e.g. a rubber stopper of a vial, and 2 vials are administered per day, **2 rubber stoppers/day** are exposed to the patient

## 2.4 The AET– the uncertainty factor

### ANALYTICAL UNCERTAINTY FACTOR

*To account for the analytical uncertainty of the screening method (response factor variation)*

Previously: UF of 2 was typically accepted (PQRI (OINDP))

$$(\text{final}) \text{ AET} = \frac{\text{SCT}}{\text{MDD} \times \text{UF}}$$

Current state of the art:

- Based on In-house database:

$$UF = \frac{1}{(1 - RSD)^*} \quad *RSD = \text{relative standard deviation of response factors}$$

Table 1: Overview of the statistical evaluation of the RRF values for GC/MS and LC/MS (APCI and ESI)

| Technique/<br>Method | Nelson<br>Labs SOP | Nº of RRF<br>Entries | Average<br>RRF | Standard<br>Deviation | RSD  | Uncertainty<br>Factor (UF) |
|----------------------|--------------------|----------------------|----------------|-----------------------|------|----------------------------|
| GC/MS                | SOP0487<br>SOP0245 | 2194                 | 0.61           | 0.30                  | 0.49 | 2                          |
| LC/MS<br>(APCI)      | SOP0264            | 652                  | 0.84           | 0.67                  | 0.80 | 5                          |
| LC/MS<br>(ESI)       | SOP0268            | 201                  | 1.04           | 0.79                  | 0.76 | (4) =>5                    |

ISO 10993-18  
USP1664  
ICHQ3E

## 2.5 The AET – Examples

### Leachable study (~in µg/L drug product)

#### Polio Vaccine

Safety concern threshold = 1.5 µg/day

Max daily dose volume: 0.001 L/day

#### mAB for treatment of arthritis

Safety concern threshold = 1.5 µg/day

Max daily dose volume: 0.020 L/day

$$\text{(final) AET} = \frac{\text{SCT}}{\text{MDD} \times \text{UF}}$$

$$\text{(final) AET} = \frac{1.5 \text{ } \mu\text{g/day}}{0.001 \text{ L/day} \times \text{UF}}$$

$$= \frac{1500 \text{ } \mu\text{g/L}}{\text{UF}}$$

$$\text{(final) AET} = \frac{1.5 \text{ } \mu\text{g/day}}{0.020 \text{ L/day} \times \text{UF}}$$

$$= \frac{75 \text{ } \mu\text{g/L}}{\text{UF}}$$

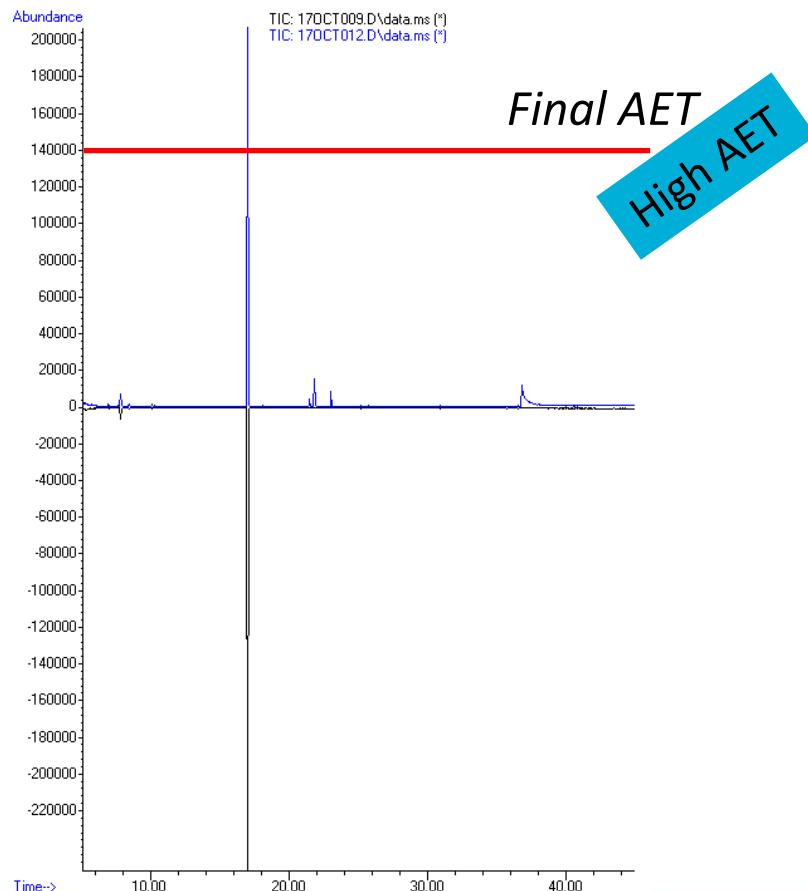
UF = 2 for (HS-)GC/MS and 5 for HRAM-UPLC/MS

## 2.5 The threshold approach – organic compounds

### Polio Vaccine

Safety concern threshold = 1.5 µg/day

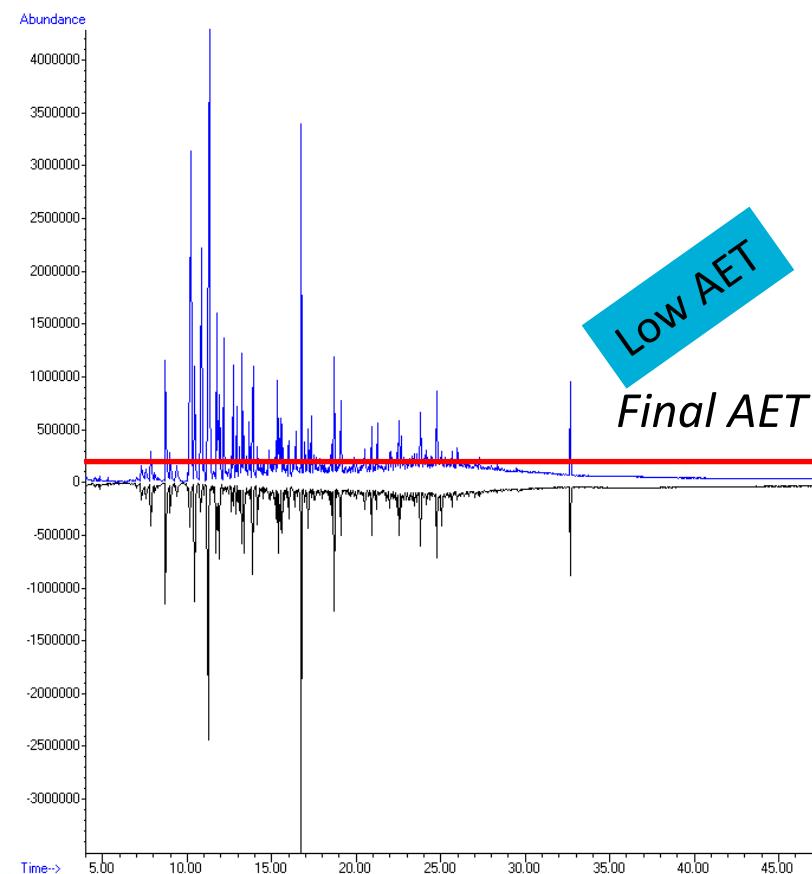
Max daily dose volume: 0.001 L/day



### mAB for treatment of arthritis

Safety concern threshold = 1.5 µg/day

Max daily dose volume: 0.020 L/day



## 2.5 The threshold approach – organic compounds

### Extractables study (~in µg/test item)



#### Barrel of a prefilled syringe (containing 0.8 mL of DP)

-> 1 prefilled syringe is administered maximum per day

Safety concern threshold = 1.5 µg/day

Maximum daily exposure to a patient: 1 barrel/day

$$(\text{final}) \text{ AET} = \frac{1.5 \text{ } \mu\text{g}/\text{day}}{1 \text{ barrel}/\text{day} \times \text{UF}}$$

$$= \frac{1.5 \text{ } \mu\text{g}/\text{barrel}}{\text{UF}}$$

UF = 2 for (HS-)GC/MS and 5 for HRAM-UPLC/MS

### Extractables study (~in µg/test item)

**Label of a LDPE bottle (90 mL fill volume, containing 60 max. daily doses of 1.5 mL)**

Safety concern threshold = 1.5 µg/day

Maximum daily exposure to the patient: 1/60 label/day

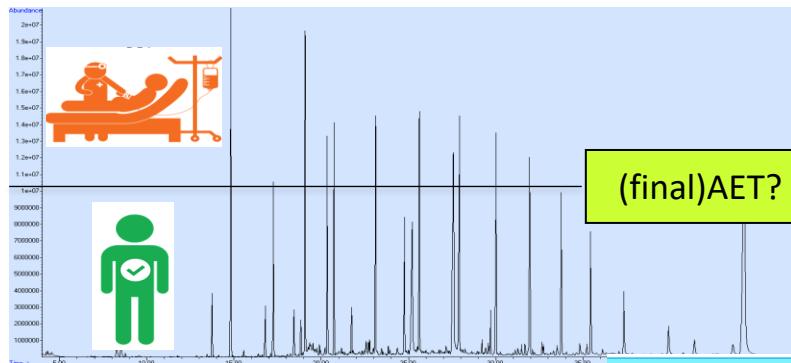
$$\text{(final) AET} = \frac{1.5 \text{ µg/day}}{1/60 \text{ label/day} \times \text{UF}}$$

$$= \frac{90 \text{ µg/label}}{\text{UF}}$$

UF = 2 for (HS-)GC/MS and 5 for HRAM-UPLC/MS

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### 3. Summary



*Analytical uncertainty factor*

$$UF = \frac{1}{(1 - RSD)}$$



$$(final) AET = \frac{SCT}{MDD \times UF}$$

*Generic tox threshold*



-> 1.5 µg/day for PDP

*Maximum daily dose*

