# All about Pre-filled Syringe Systems

From Initial Development to Final Fill Finish

Plunger, Needle Shield, Tip Cap Christa Jansen-Otten

Copenhagen, 25th and 26th April 2024







#### Customer Impact - Demands on Packaging Components are Increasing







- Particulate reduction/foreign matter
- Concerns regarding extractables/leachables
  - Ultra-clean components needed
  - New ways to deliver medicine
- Functional performance of components
  - High-speed lines
  - Complex devices
- Moisture Vapor Transmission Rate

- Container closure integrity (CCI)
- New manufacturing approach
  - Flexibility
  - Time to market
  - Total cost of ownership (TCO) focused
- Functional performance of components
  - High-speed lines
  - Complex devices





## Considerations in Selection of PFS Components





#### Rubber material







## Why Use a Rubber Material?



Sealing properties that maintain container – closure seal integrity over time.

Physically and chemically compatible with different sterilization methods.

Different range of material permeability.

Compatible in long-term contact with drugs.

Wide range of product designs





## Main Elastomer Types Used for Parenteral Applications

Natural Rubber (NR) – from Hevea Brasiliensis

**Isoprene Rubber (IR)** – synthetic equivalent to NR

Styrene-Butadiene-Rubber (SBR)

Butadiene Rubber (BR)



Nitrile Rubber (NBR)

Ethylene-Propylene Rubber (EPM/EPDM)

**Isobutylene Isoprene Rubber** (IIR, Butyl Rubber)

Halogenated Butyl Rubber (XIIR) – Br, Cl









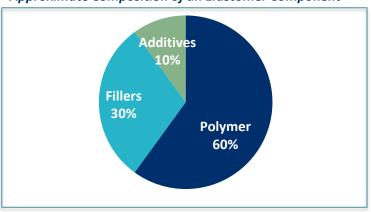
#### **Elastomers Closures General Composition**



#### **Additives**

can be curing agents, antioxidants, accelerators, activators, protective agents, colorants, plasticizers, acid scavengers, light and heat stabilizers, lubricants, anti-static agents, etc.

**Approximate Composition of an Elastomer Component** 



#### **Fillers**

are mainly defining the physical properties

→ "Ask your supplier for potential extractable lists"





### Elastomeric Formulations for Pharmaceutical Use - Properties Butyls/Halobutyls





Application: stoppers, plungers, cartridge seals and tip caps



High elasticity



Low potential E&L<sup>1</sup> for good drug compatibility



Low moisture and gas permeation rates



Steam and gamma sterilizable



JP, USP, EP compliant<sup>2</sup>



Low fragmentation / corning



Optimal penetrability/good resealing properties

<sup>1</sup>extractables & leachables <sup>2</sup>design dependent



#### Elastomeric Formulations for Pharmaceutical Use - Properties synthetic Polyisoprene





Application: needle shields/rigid needle shields, tip caps plungers, cartridge seals (laminates)



High elasticity



Low potential E&L<sup>1</sup> for good drug compatibility



Good permeability rates towards moisture and gases (ETO<sup>2</sup>)



Steam, gamma and EtO<sup>2</sup> sterilizable



USP, EP compliant



Low fragmentation / corning



Ozone resistance (low cracking), no blooming, no frosting

<sup>1</sup>extractables & leachables

<sup>2</sup>Ethylene oxide





# Potential Issues: Needle Shields and Tip Caps

#### **Ozone Cracking**



#### Frosting (Bloom)







# Supporting Documents







### Supporting Documents: Example

- Technical drawings
- Formulation Characteristics
- Elastomer Formulation Biocompatibility
- Technical Bulletins and Reports
- Theoretical Material Extractable List
- VeriSure® Extractable Technical Package
- Material Characterization Package
- Regulatory Compliance Bulletins
- Product Specifications
- DMF
- Certificates



FORMULATION CHARACTERISTICS
WEST FORMULATION 4023/50 GRAY

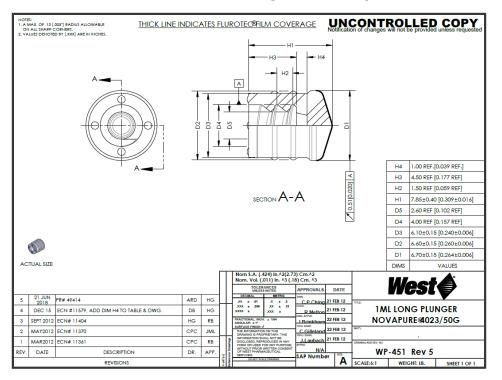


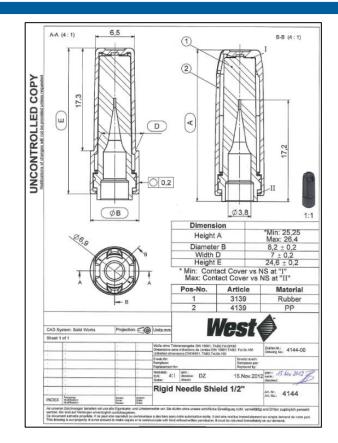






## **Uncontrolled Drawings: Example**









#### Formulation Documents: Example



Version: Supersed Revision 6 Revision 5

#### FORMULATION 4023/50 GRAY

\*Note: The formulations listed above conform to the specifications and properties represented below as an example.

#### 1. FORMULATION SPECIFICATIONS

The following tests and specifications may be used to confirm the composition of the base elastomeric formulation. The ash and specific gravity specifications listed do not apply to closures with Teflon®, FluroTec® or LyoTec™ coatings.

Test	Units	Specification	Method	
Ash	%	46.4 ± 2.0	West Ash-01	
Specific Gravity	None	1.37 ± 0.04	West SpGrav-02	
Ultraviolet (UV) Spectrum of NaOH Extract	None	Compares to Reference (Attachment 1)	West UV-01	
Infrared (IR) Spectrum of Pyrolysis Products	None	Compares to Reference (Attachment 2)	West IR-01	

The identity of coatings present may be verified using Reflectance Infrared analysis.

Spectrum	Attachment #	Method
Reflectance Infrared Analysis of Base Formulation	Attachment 3	West IR-08
Reflectance Infrared Analysis of FluroTec Surface	Attachment 4	West IR-08
Reflectance Infrared Analysis of B2 Surface	Attachment 5	West IR-08
Reflectance Infrared Analysis of Base Formulation	Attachment 6	West IR-26
Reflectance Infrared Analysis of FluroTec Surface	Attachment 7	West IR-26

#### 2. GENERAL DESCRIPTION

The following is a general description of the composition of the base formulation.

Elastomer Type: Reinforcement System: Curing System: Bromobutyl Inert Mineral Sulfur



#### West∳

Elastomer Formulation Biocompatibility

4023/50 Grav

Biocompatibility Profile

Background. The purpose of a profile is to provide biocompatibility information on components to enable risk evaluations. Components tested for biological reactivity provide baseline information only, and final drug product packaging/delivery systems should be tested for suitability for use.<sup>9</sup> Baseline biological reactivity information provided by West is useful for material selection. For the purpose of this profile, base 4023/50 Gray formulation data are presented.

Surface treatments, films, etc., are out of the scope of this document; however, they must be considered, as they also may be in contact with the drug product. Separate documents will be available for films. Additional components included in the packaging/delivery system (e.g., vial, needle shields), process (manufacturing equipment), and combination products (e.g., medical devices, such as West's SmartDose<sup>®</sup> and SelfDose<sup>TM</sup> platforms, Daikyo Crystal Zenith<sup>®</sup> Syringes, and administration systems) will be addressed in separate Combination Product Biocompatibility packages if applicable and are out of scope of this elastomer formulation baseline data document.

Biocompatibility Results. The base 4023/50 Gray formulation is compliant with USP <87>, JP 7.03, and USP <88> biocompatibility requirements. Data are summarized below.

Standard	Methods	Results			
USP <87>	Biological Reactivity, In Vitro, MEM Elution Test	Meets USP <87> Compliance Result: Grade 0			
JP 7.03	Cytotoxicity JP 7.03	Meets JP 7.03 Compliance Result: IC <sub>50</sub> >90			
USP <88>	Biological Reactivity, In Vivo, Systemic Injection Test in Saline and Cottonseed Oil	Meets USP <88> Compliance Result: No Mortality			
USP <88>	Biological Reactivity, In Vivo, Intracutaneous Test in Saline and Cottonseed Oil	Meets USP <88> Compliance Result: Grade 0			





### Formulation Documents: Example

Compliance Bulletin

Rev. 5

West Item: 4023/50 Grey

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#### Theoretical Material Extractables List: 4023/50 Gray

Below is a summary of the potential chemical entities that could be extracted from West elastomer formulation 4023/50 Gray based on the materials that are used in the formulation. Since each drug application is unique, it is possible to form new reaction products from the closure or from a combination of the closure and the drug product components.





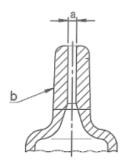
Rigid Needle Shields and Tip Cap





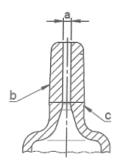


# Pre-filled Head Designs ISO 11040-4 require different closure design solutions



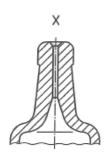
Head design of glass barrel with a 6% Luer cone





Head design of glass barrel with a 6% Luer cone for Luer Lock (LL)





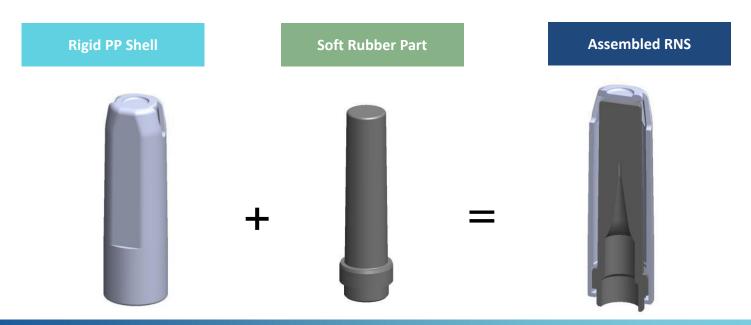
Head design of glass barrel with staked needle







## West Rigid Needle Shields



Rigid Needle Shields [RNS] are a safe & efficient closuring system for Prefilled Syringes with staked needles





#### Design examples of Rigid Needle Shields

RNS ½" [13 mm]

Needle length used for subcutaneous drug injection (into the tissue layer between the skin and the muscle)





RNS %" [16 mm]

Needle length used for intramuscular drug injection (deep into the muscles)





#### Solution

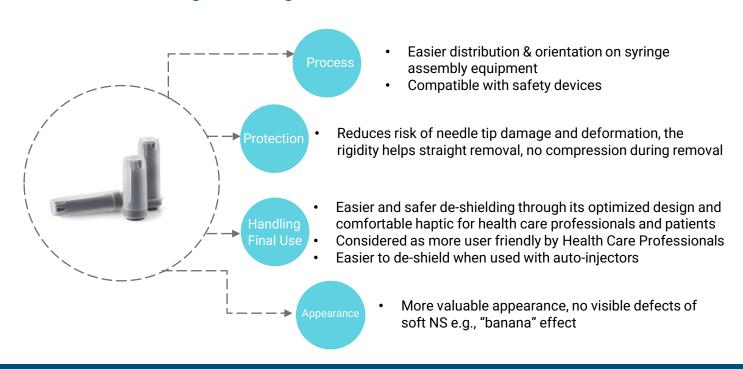
- Designed for existing assembly machine and filling equipment.
- Fits to ISO Norm 11040-4 glass syringe with staked needle
- Suitable also for polymer (e.g. COP) syringe
- Compatible with safety devices
- High gas permeation rubber formulation combined with sterilization windows of the rigid shell allowing effective sterilization by ethylene oxide or steam







#### Advantages of Rigid Needle Shields vs Soft Needle Shields

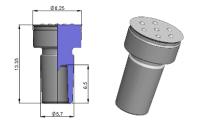


Rigid Needle Shields are the preferred closure for staked needle syringes



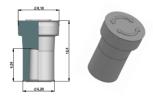


# Example of various Tip Caps for Luer and Luer Lock Syringe

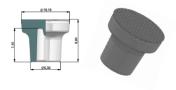


Tip Cap to be inserted a rigid plastic cap # 3155





Easy Turn Tip Cap # 3131



Mushroom Rip Cap # 3379

Multiple rubber formulation options (halobutyl and synthetic isoprenes)





Barrier Film & Coatings







#### Films and Coating Technologies

- Film − sheet (e.g., PTFE, ETFE) that is laminated to elastomeric component during the molding process
  - Barrier function, e.g., FluroTec<sup>™</sup> film

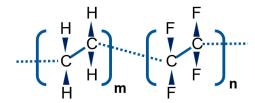
- Coating liquid or vapor that is sprayed, tumbled or vapor deposited onto the elastomeric component
  - Lubricity, e.g., B2-Coating
  - Lubricity and barrier function



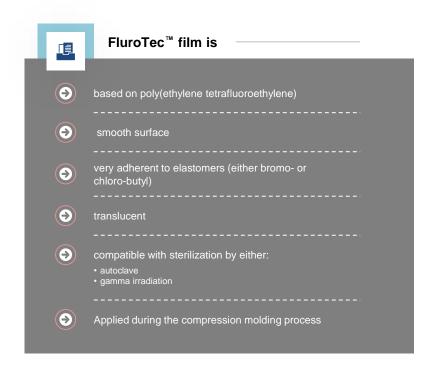


## Film properties





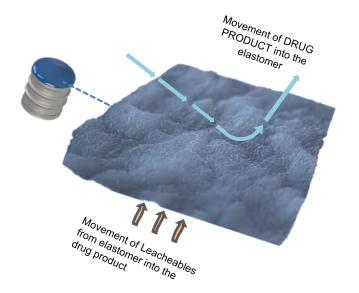
Structure of Poly(ethylene tetrafluoroethylene) (ETFE)

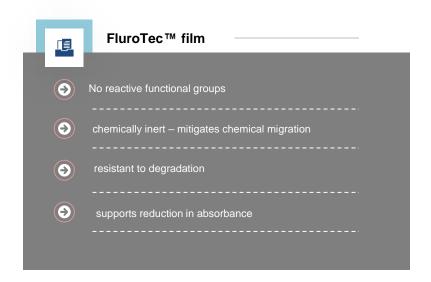




#### Film has a low level of Interaction

ETFE acting as a barrier reduces transport in two directions



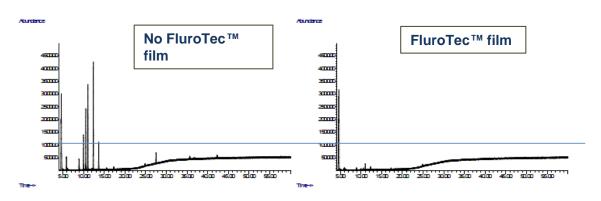


Very Low Surface Energy → Very Low Level of Interaction!





#### Fluoropolymer film coating Significantly Reduce Leachables



- The drawn blue line indicates an estimated identification threshold of 0.5 μg/unit, which is below the Product Quality Research Institute recommended safety concern threshold for parenteral drug products
- Non-laminated elastomers showed approximately eight volatile organic compound (VOC) peaks estimated to be > 0.5 μg/unit
- Elastomers with FluroTec™ film did not show any peaks > 0.5 μg/unit [blue line]

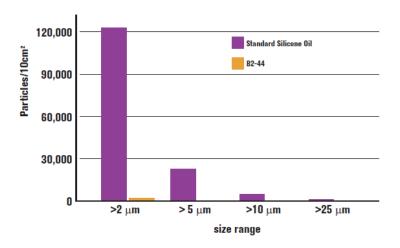
Most marketed biopharmaceuticals use fluorpolymer-coated component technology (FluroTec® film)



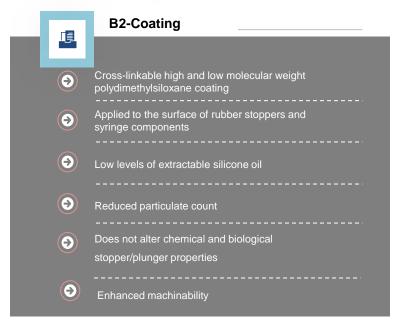


## **Lubricity coating**

# B2-coating vs. Traditional Silicone Oil - Sub visible Particles -











#### **Lubricity Coating: Classical Silicone Oil**

Polydimethylsiloxane DuPont ™Liveo ™ 360 Medical Fluid\* added during washing operation into the washing drum:

- 350 centistokes → USA
- 1000 centistokes → Europe

#### **ADVANTAGES**

- Commonly used
- Applied during wash cycle
- Low cost

#### **DISADVANTAGES**

- Particles/droplets may be found in drug product
- Silicone level may be inconsistent if process is not validated



<sup>\*</sup> Example of silicone oil used by West



Plungers







# Facilitating Life Cycle: Seamless Transition from Vial to Prefilled Syringe format



Multi Dose Vial [MDVs]





## Main requirements for Prefillable Syringes Plungers

Delivers a smooth injection profile [break loose & glide forces profile]

Compatibility with the drug product

Compatible with gamma-irradiation and final steam sterilization treatment

Compatible with glass and plastic (COC/COP) barrels

Good compression set properties

Maintains Container closure Integrity

Well performance on fill-finish equipment

Optimized Break Loose & Extrusion Profile

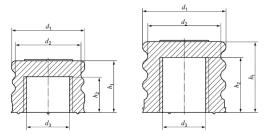
Low Part-to-Part Variability



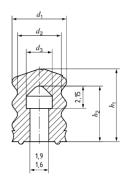




## Plunger ISO 11040-5



b) Plunger stopper with thread (PST)



a) Plunger stopper with snap lid (PSL)

Nominal inner diameter	Nominal volume	Туре	d <sub>1</sub> a		d <sub>2</sub> a		d₃ <sup>a</sup>		h <sub>1</sub> a		h <sub>2</sub> a		
d2 <sup>b</sup>	ml		nom.	tol.	nom.	tol.	nom.	tol.	nom.	tol.	nom.	tol.	
4,65 ± 0,1	0,5	PSL	5,2 to 5,3		4,1 to 4,2		2,5		6,85 to 7,0		5,3	±0,35	
6,35 ± 0,1	1 (long)		6,8 to 7		5,9 to 6		2,6	±0,2	7,65 to 7,85		4,5		
8,65 ± 0,2	1 to 3		9,05 to 9,25	to ±0,1	±0,1	7,6 to 8	±0,15	4,7		7,7 to 7,85	±0,4	4	
11,85 ± 0,2	5	PST	12,5 to 12,7		10,5 to 11,15	±0,15	5,2 to 5,6		8,5	±0,4	6,0	±0,3	
14,25 ± 0,2	10		15 to 15,3		13,5 to 13,75		7,4 to 7,6	±0,25	8,5 to 10		6 to 6,2		
19,05 ± 0,2	20		19,9 to 20,1	±0,15	18,4 to 18,6		10,7		13,45 to 13,50		7		

The nominal diameter shall be agreed upon between the manufacturer and the user within the given range.

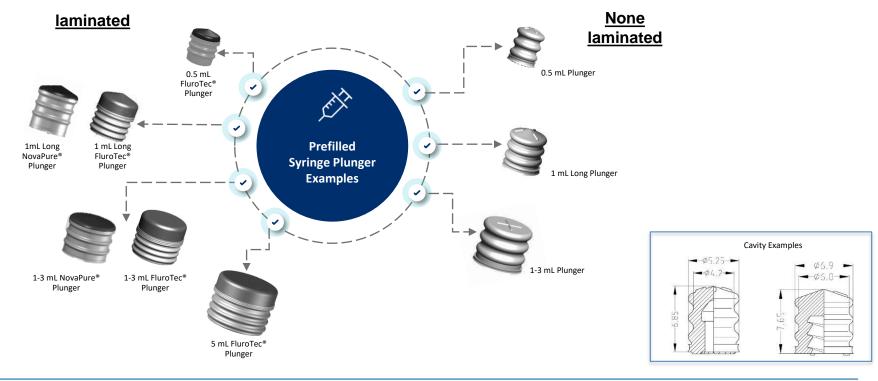
West standard components are compatible with ISO glass barrels



b In accordance with ISO 11040-4.



#### Example of Prefillable Syringe Plungers - Portfolio at West

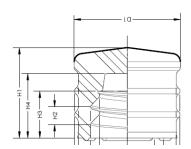




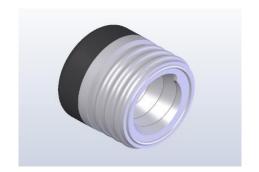
# Examples of Prefilled Syringe plunger designs

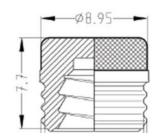
1-3 mL NovaPure® Plunger



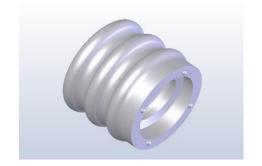


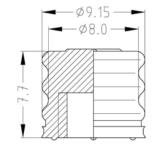
1-3 ml FluroTec® Plunger





1-3 ml Plunger





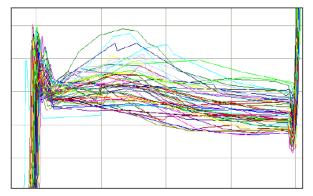




## Manual PFS to Auto-injector Challenges







Syringe functionality with high variability





## Auto-injector Reliability Risks

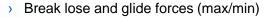


If injection times vary between doses with an autoinjector:

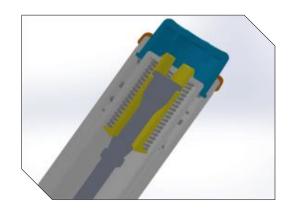
- Patient may stop dose if too long
- > Patient may question quality of the product

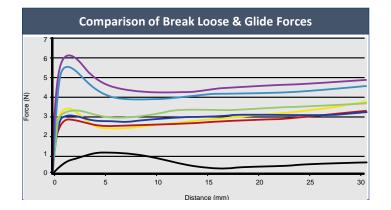


**Critical design factors must be considered, especially functional compatibility** 



Spring falling rate forces (max/min)

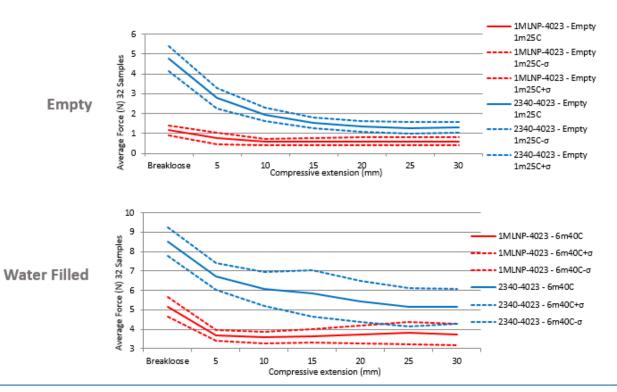








### Performance: two different laminated 1 ml long Plungers

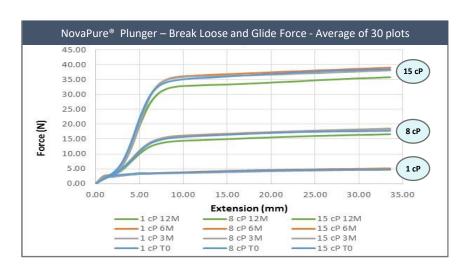


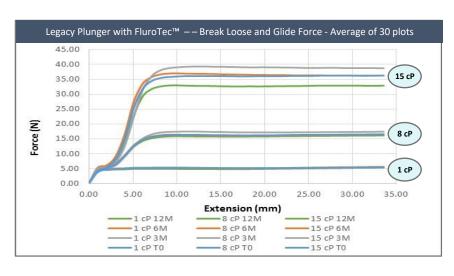






## Break-loose and Glide Force - 1 ml Long Plungers - Curves represent averages of 30 plots - Example -





- Break-loose forces are on average 50% lower for NovaPure® plungers at all viscosities and all timepoints
- Less variability over time with NovaPure® plungers especially for high viscosities

Study Extract: TR 2018/191



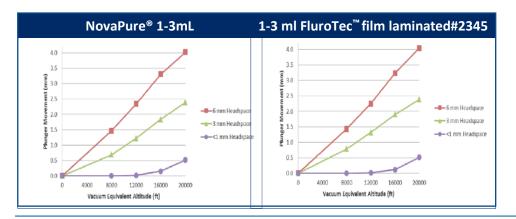


## **Evaluation of Plunger Movement During Transit Conditions- Example**

## West Plungers Evaluated: 1-3 ml FluroTec™ film laminated plunger and 1-3 mL NovaPure® plunger

- Headspace Values
  - 6 mm (exaggerated vent-tube placement)
  - 3 mm (typical vent-tube placement)
  - <1 mm (typical vacuum placement)</p>

Altitude	Significance
8,000 ft	Pressurized Jet
12,000 ft	Mountain Passes
16,000 ft	Unpressurized Jet
20,000 ft	Highest Cargo Jet Altitude on Record



- Linear correlation between pressure and movement
- Higher headspace volume leads to stronger movement
- NovaPure® and legacy plunger performance is comparable





## **Processing**

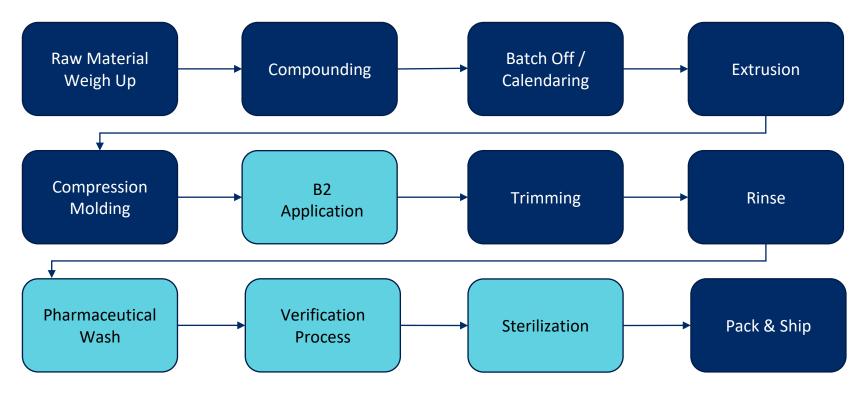






## **Process Flow Map**

Value Add Processing Steps





#### Raw Material Weigh Up

- Formulation control SAP
- Only approved raw materials
- Electronic weigh check against the ingredients list



#### Compounding (Mixer/Open Mill)

- Distribute ingredients uniformly throughout the polymer matrix
- Use shear to reduce the molecular weight of the polymer and allow the ingredients to disperse

# Internal Mixer Open Mill



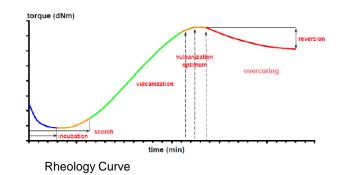


## Mixing Control (Mill Control)

Curing of ISO – standard sample for testing purposes					
specific gravity	per batch				
Shore A of vulcanized sample	per batch				
dispersion of vulcanized sample	per batch				
color of vulcanized sample	per batch				
ash content	every 10 <sup>th</sup> batch plus 1 <sup>st</sup> and last				
rheology of the compound	every 5 <sup>th</sup> batch plus 1 <sup>st</sup> and last				



Vulcanized Test buttons







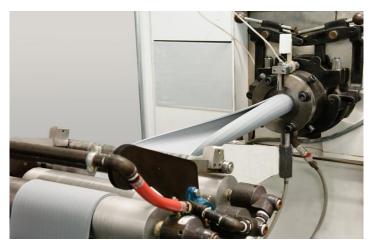
#### Batch Off or Calendaring

 Intermediate step that allows the compounding facility to hold or distribute rubber stock prior to extrusion



# Extrusion (Calendar/Dispersion Enhancement System)

- Aids in reducing undispersed materials
- Form the compounded rubber into panel shape, required for compression molding







## **Pharmaceutical Rubber Manufacturing**

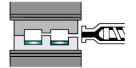
#### Different 'shapes' need different molding technology:

Compression Molding (CM) e.g. Plungers, stoppers, disk



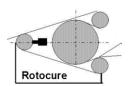


Precision Injection Molding (PIM) e.g Needle shields ...





Rotocure (Sheeting Material) e.g. Lined seals...







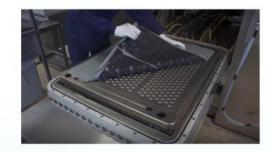


#### **Compression Molding**

- Mechanical force creates the shape
- Heat forms crosslinks and imparts final physical properties to the part







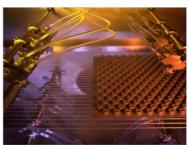




#### **B2-Coating Application**

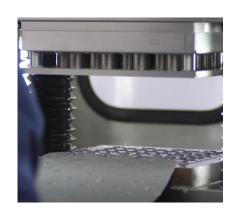
Applied to the top and/or bottom of the molded panels

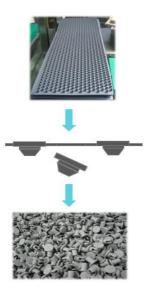




#### Trimming

• Parts are trimmed from the molded panels









#### Rinse

- Removes Processing Aids
- Not a pharmaceutical wash



#### Pharmaceutical Wash Process

- Pharmaceutical wash process for Ready-to-Sterilize (RS) product
- Application of silicone (if applicable)







#### Pharmaceutical Wash Process

- Validated process according to GMP to demonstrate an endotoxin content reduction by at least 99.9% (3.0  $log_{10}$ ).
- Components are unloaded from the washer in a Zone 5 clean room
- All associated process data is filed in Drug Master Files (DMF) with FDA and Health Canada.
- Particulate, bioburden and endotoxin are reported in the quality certificate provided with every batch









#### **Verification Process**

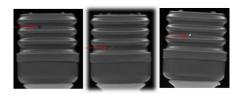
• 100% camera visual inspection for pre-defined defects

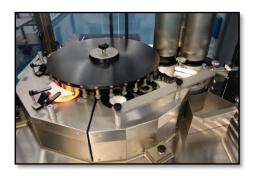






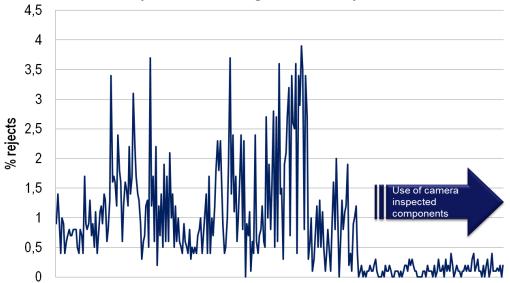
#### **End-of-Line Defect Reduction**





100% Camera Inspection of rubber components

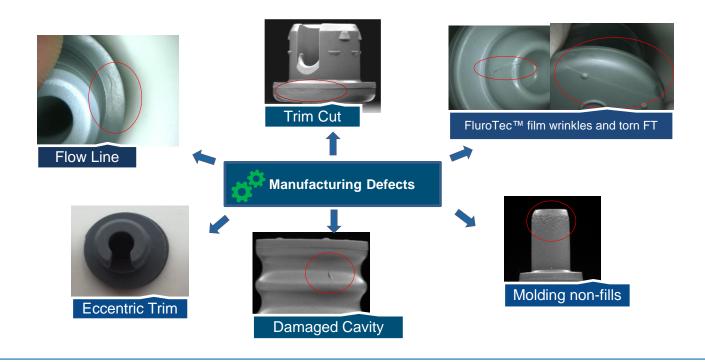
#### Case Study: End-of-line drug filled units reject trend





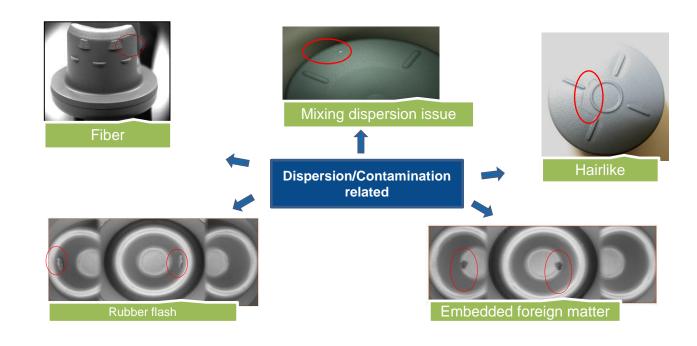


## Automated vision inspection verification: defects examples





## Automated vision inspection verification: defects examples





#### Steam sterilization

- Plungers, stoppers and lined seals
- The sterilization process is validated to assure a minimum SAL of 10-6 and in line with
  - ISO 17665-1 and 17665-2
- Steam processed elastomer formulations exhibit less degradation





#### **Gamma sterilization**

- Plungers
- The sterilization process is validated to assure a minimum SAL of 10<sup>-6</sup> and in line with
  - ISO 11137-1 and ISO 11137-2
- Gamma processing might impact degradation of the elastomeric formulation





Sterility assurance is reported in the quality certificate coming with every batch





#### Pack

Product is packaged





#### Ship

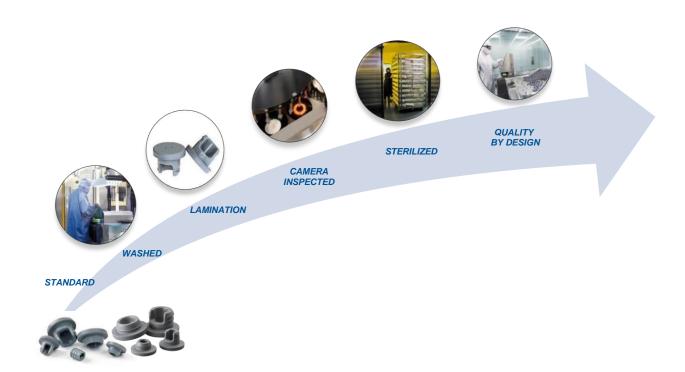
• Prepare for final shipment to the customer





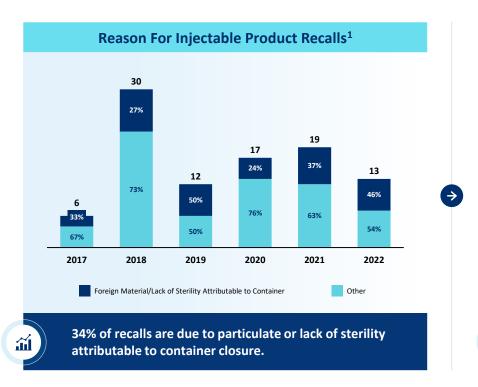


## Differentiated Solutions: Increasing Quality & Inspection





#### Particulates and Lack of Sterility Cause Most Product Recalls









## Visible and Subvisible Particle Specification Example

Attribute	NovaPure® 4023/50 Components		
Particulate > 5 μm < 10 μm	<100.0 particles / 10 cm <sup>2</sup>		
Particulate > 10 μm < 25 μm	<60.0 particles / 10 cm <sup>2</sup>		
Particulate > 25 μm < 50 μm	<8.0 particles / 10 cm <sup>2</sup>		
0.9 Particulate > 50 μm < 100 μm	<1.0 particles / 10 cm <sup>2</sup>		
Particulate > 100 μm	<0.2 particles / 10 cm <sup>2</sup>		
Fibers > 10 mm	AQL - 0.010	PPM ≤ 10	
Embedded Foreign Matter > 0.2 mm <sup>2</sup>	AQL - 0.015	PPM ≤ 50	
Fibers ≥ 2 mm		PPM ≤ 250	
Defects potentially leading to non-sterility	AQL - 0.040	PPM ≤ 250	
Defects impairing processing		PPM ≤ 250	
Fibers ≥ 0.5 mm, < 2.0 mm	AQL - 0.25	PPM ≤ 2500	

Acceptable Quality Level (AQL) is a statistical measurement of the maximum acceptable number of defective goods in a particular sample size





**Secondary Packaging** 





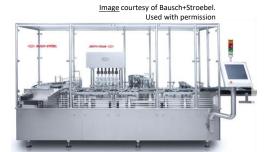


#### Secondary Packaging - Flexibility for Filling Needs

- Filled bags are offered in ready-to-use (RU) quality by either steam or gamma validated processes
- The ported bag packaging system is qualified to maintain the package integrity and stability of the components throughout the recommended shelf-life period. Verification includes shipping distribution simulation studies.









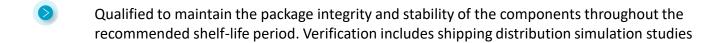


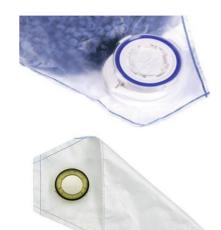




## High-quality packaging materials

- Reduction of particle load of primary packaging → tighter specification
- Ease of use
- Pinhole resistant physical stress
- Plastic cartons & plastic pallets

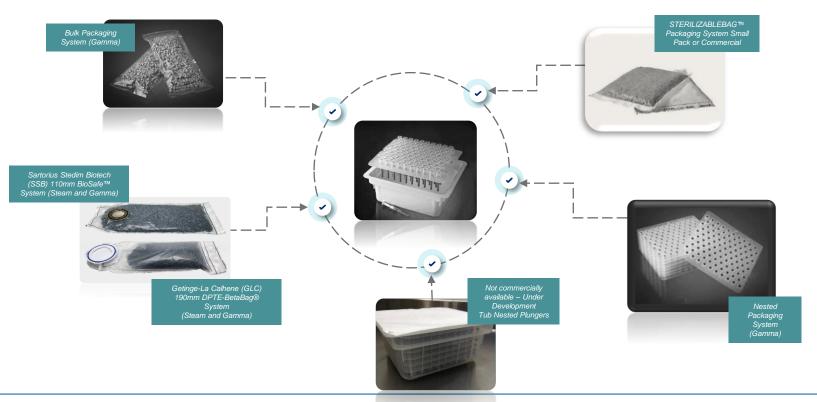






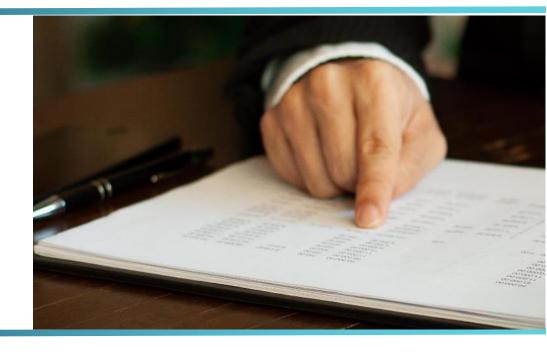


## Ready-to-Use Packaging Solutions





# Relevant Compendial Chapters and Standards





#### Global Comparison of Elastomer Chapters



Purpose	Paragraph	USP <381>	Ph Eur 3.2.9	JP 7.03	YBB
Introduction	Definition of Elastomer Types	✓	✓	-	✓
Identification	e.g. IR, ash test	✓	✓	✓	✓
Physico-chemical Tests	Appearance of solution, absorbance, etc	✓	✓	✓	✓
Potential Extractable	Ammonium, Volatile Sulfides	✓	✓	✓	✓
Functionality Tests*	Fragmentation, self-sealing,	✓	✓	-	✓





#### Introduction to USP <1382> and <382>

## <1382> Assessment of Elastomeric Component Functional Suitability in Parenteral Product Packaging/Delivery Systems

- Assist in the functional suitability assessment of elastomeric components as part of packaging / delivery systems
- ISO references
- Sampling plan guidance

## <382> Elastomeric Component Functional Suitability in Parenteral Product Packaging/Delivery Systems

• Fitness for intended use functional suitability tests and requirements

Released December 2020 with 5-year implementation grace period





#### Current <381> versus <382>

From: USP <381>

#### **Elastomeric Closures for Injections**

- Functionality Tests
  - · Penetrability
  - Fragmentation
  - Self-Sealing Capacity

#### **Container Closures for Vials and Bottles**



#### To: USP <382>

## Elastomeric Component Functional Suitability in Parenteral Product Packaging and Delivery Systems

- Package/Delivery System Integrity Tests
- Needle and Spike Access Functionality Tests
  - Fragmentation
  - Penetration Force
  - Needle Self-Sealing Capacity
  - Spike Retention and Sealability Capacity
- Plunger Functional Suitability Tests
  - Plunger Break Force and Plunger Glide Force
  - Plunger Seal Integrity
- Tip Cap and Needle Shield Functionality Tests

**System** Closures for Vials, Bottles, Blow Fill Seal Containers, Plastics, Cartridges and Syringes







## **Medical Device Regulation**



#### **Background**

- Revision of Regulation 2017/745 on medical devices (MDR)
- Prefilled Syringe is a medical device
- MAA needs a Notified Body Opinion (NBOp)
- Need to fulfill Annex I General Safety and Performance Requirements (GSPRs)
- GSPRs have been expanded significantly
- Knowledge and Experience of the Container Closure System
- Primary Packaging components are also in scope



#### What does this mean for you

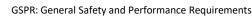
- Need to obtain a NBOp
- Information from suppliers is part of this







MAA: Marketing Authorization Application



**NBOp: Notified Bodies Opinion** 

MAA: Marketing Authorization Applicant





## PFS Supportive Documentation



#### PDA\* Technical Report [TR73-2]

- application of Medical Device Regulations, Annex I Requirements (GSPR's) for Staked Needle
- creation of an Addendum TR73-2 to facilitate



#### **Subject Matter Experts**

 consists of a group of pharmaceutical companies, glass and rubber component suppliers, consultants (partially with NB background)



#### TR 73-2 Content

 is following the structure of the submission file to the NB's



#### Release Date & Access

- February 6<sup>th</sup> 2024
- PDA Technical Report Portal: TR 73-2

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## Technical Document Package – best practice



Document includes component technical summaries, quality statements, bulletins and various exclusive documents generated by West in support of Annex I of the European MDR filing





# Thank you very much for your attention!

Any Thoughts?
Any Questions?

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